

UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF MASSACHUSETTS

05 11114 MLW

TOWN OF IPSWICH,
Plaintiff,

MAGISTRATE JUDGE Alexander

v.

NUFIC FIRE INSURANCE COMPANY OF
PITTSBURGH, PA.,
Defendant.

CIVIL ACTION
NO.

RECEIPT # 64582
AMOUNT \$ 2050
SUMMONS ISSUED N/A
LOCAL RULE 4.1
WAIVER FORM
MCF ISSUED
BY DPTY. CLK
DATE 5/27/05

DEFENDANT'S NOTICE OF REMOVAL

Pursuant to 28 U.S.C. §§ 1441 and 1446, the defendant National Union Fire Insurance Company of Pittsburgh, Pa. ("NUFIC") hereby removes the above-captioned action to this Court from the Essex Superior Court, Commonwealth of Massachusetts. In support of this Defendant's Notice Of Removal, NUFIC states as follows:

A. Factual and Procedural Background

1. NUFIC is a defendant in a civil action filed in, and presently pending before, the Essex Superior Court, Commonwealth of Massachusetts, styled as Town of Ipswich v. National Union Fire Insurance Company of Pittsburgh, Pa., Civil Action No. 05-0357 (the "State Court Action").

2. In the Complaint which it filed in the State Court Action, the plaintiff Town of Ipswich ("Ipswich") alleges that NUFIC breached the performance bond which NUFIC issued on behalf of Westcott Construction Corporation ("Westcott") regarding the contract which Westcott had with Ipswich to construct the Ipswich High School/Middle School and that, as a result,

NUFIC is liable to Ipswich for breach of contract (Count I), and for money had and received (Count II).

3. Ipswich filed the State Court Action on March 3, 2005. Ipswich did not proceed immediately to serve NUFIC with process. Rather, Ipswich waited until May, 2005 to ask NUFIC's counsel, John P. Connelly, if he would accept service for NUFIC, which Mr. Connelly did. A true and accurate copy of the Summons to NUFIC contains Mr. Connelly's signature acknowledging his acceptance of same as service on NUFIC on May 23, 2005 is attached hereto as Exhibit A.

4. This Defendant's Notice Of Removal is being filed with this Court within thirty (30) days after NUFIC was served with a copy of the Summons and Complaint setting forth Ipswich's claims. Therefore, this Defendant's Notice Of Removal is timely. See 28 U.S.C. § 1446(b).

5. As required by 28 U.S.C. § 1441(b), no citizen of the Commonwealth of Massachusetts has been joined and served as a defendant.

B. Diversity Jurisdiction of this Court

6. Ipswich is a Massachusetts municipal corporation with a main office located at Municipal Building, 25 Green Street, Ipswich, Massachusetts. (Complaint, ¶ 2).

7. NUFIC is a Pennsylvania corporation with its principal place of business in New York, New York. It is therefore a citizen of the state of Pennsylvania. (Complaint, ¶ 3).

8. Each plaintiff is therefore a citizen of a different state than each defendant.

9. The amount in controversy exceeds \$75,000. Massachusetts law prohibits a plaintiff from specifying in its complaint the amount of monetary damages it is seeking, unless such damages are "liquidated or ascertainable by calculation." M.G.L. c.231, § 13B (2005). Accordingly, the Complaint does not specifically state the amount of damages Ipswich is seeking

in this lawsuit. However, in a detailed claim letter which Ipswich sent to NUFIC in August, 2004, Ipswich alleged that its damages totaled over \$1.3 million. Therefore, the damages sought by Ipswich put the amount in controversy in this lawsuit in excess of the jurisdictional minimum.

10. This Court, therefore, has original jurisdiction over this lawsuit pursuant to 28 U.S.C. § 1332, in that the State Court Action presents a case where the amount in controversy exceeds the sum or value of \$75,000 and is between citizens of different states.

C. Removal

11. Pursuant to 28 U.S.C. §§ 1332, 1367, 1441 and 1446, removal of the State Court Action is appropriate. Under 28 U.S.C. §§ 1332 and 1441, NUFIC's right of removal applies to the entire lawsuit.

12. Venue of this removed action is proper in this Court under 28 U.S.C. § 1441(a) as the district and division embracing the place where the State Court Action is pending.

13. Copies of all process, pleadings and orders received by NUFIC in the State Court Action to date are being filed as Exhibit A to this Defendant's Notice Of Removal.

14. Written notice of the filing of this Defendant's Notice Of Removal is being served on Ipswich's counsel on this date.

15. NUFIC will promptly file a copy of this Defendant's Notice Of Removal with the Clerk of the Essex Superior Court, Commonwealth of Massachusetts, as required by 28 U.S.C. § 1446(d).

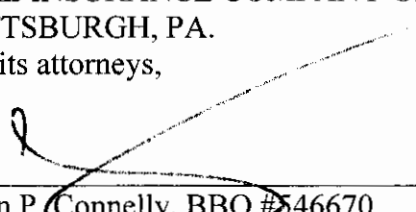
16. NUFIC reserves all defenses to Ipswich's claims and damages allegations. Moreover, NUFIC expressly preserves, and does not waive, any of the defenses available under Fed. R. Civ. P. 12(b), including, without limitation, lack of personal jurisdiction, improper/inconvenient venue and insufficiency of service of process.

WHEREFORE, NUFIC hereby removes this civil action to the United States District Court for the District of Massachusetts.

Dated: May 27, 2005

DEFENDANT NATIONAL UNION
FIRE INSURANCE COMPANY OF
PITTSBURGH, PA.

By its attorneys,

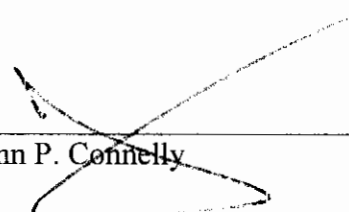


John P. Connelly, BBO #346670
PEABODY & ARNOLD LLP
30 Rowes Wharf
Boston, MA 02110
(617) 951-2100

CERTIFICATE OF SERVICE

I, John P. Connelly, hereby certify that a copy of the foregoing Defendant's Notice Of Removal has been served by first class mail, postage pre-paid, upon the following counsel of record for the plaintiff on this 27th day of May, 2005:

Peter E. Ball, Esquire
Kurt S. Kusiak, Esquire
Sally & Fitch LLP
225 Franklin Street
Boston, MA 02110



John P. Connelly

614322_1
9505-90426

EXHIBIT A
TO
NOTICE OF REMOVAL

COMMONWEALTH OF MASSACHUSETTS

ESSEX, SS.

SUPERIOR COURT DEPARTMENT
CIVIL ACTION NO.: _____

A 5-0357

TOWN OF IPSWICH,

Plaintiff,

v.

NATIONAL UNION FIRE INSURANCE CO. OF
PITTSBURGH, PA

Defendant.

**COMPLAINT AND
JURY TRIAL DEMAND**

NATURE OF THE ACTION

1. Plaintiff Town of Ipswich ("Ipswich") brings this action against Defendant National Union Fire Insurance Co. of Pittsburgh, PA ("NUFIC") to recover damages resulting from breaches by NUFIC of a Performance Bond by which NUFIC agreed to insure the performance of the general contractor that Ipswich had engaged to construct a new Middle/High School for Ipswich.

PARTIES

2. Plaintiff Town of Ipswich is a Massachusetts municipal corporation with a main office located at Municipal Building, 25 Green Street, Ipswich, Essex County, Massachusetts.

3. On information and belief, NUFIC is a Pennsylvania corporation with a principal place of business in New York, NY. Further on information and belief, it is authorized to issue surety bonds in Massachusetts.

Elizabeth J. Harker
Clerk of Court

STATEMENT OF FACTS

4. In or about May 1998, Ipswich, acting by and through its School Building Committee, entered into a contract (the "Construction Contract") with Westcott Construction Corporation ("Westcott") for the construction of a new Middle/High School for Ipswich (the "Project").

5. In connection with the Project, NUFIC, as surety, issued a performance bond (the "Performance Bond") with Westcott as the principal on the Bond and Ipswich as the obligee. The Performance Bond insured the performance of Westcott under the Construction Contract. The Performance Bond further obligated NUFIC, in the event that Westcott abandoned the Construction Contract, to take such action as necessary to complete the Construction Contract. (A copy of the Performance Bond is attached hereto as Exhibit A.)

6. On information and belief, on or about November 17, 2000, Westcott advised NUFIC that Westcott was financially unable to complete the Project, and Westcott authorized NUFIC to take whatever action NUFIC deemed necessary to complete the Project.

7. As required by the Performance Bond, NUFIC took over the Construction Contract. In or about May, 2001, NUFIC engaged Charwill Construction, Inc. ("Charwill") to assume Westcott's duties. In effect, NUFIC, which was a bonding company now acting as general contractor, hired Charwill to perform the actual general contractor duties.

8. Prior to abandoning the Construction Contract, Westcott committed several breaches of the Construction Contract for which NUFIC, as suretor under the Performance Bond, is liable.

9. Specifically, and without limitation, Westcott failed to timely complete Phase IA and Phase IB of the Project.

10. Under Phase IA, the Construction Contract obligated Westcott to complete certain Bleachers and a Stormwater Detention and Treatment System ("Stormwater System") by August 15, 1998.

11. In fact, the Bleachers were not substantially completed until approximately 65 days after August 15, 1998 and the Stormwater System was not substantially completed until approximately 236 days after August 15, 1998.

12. Pursuant to the Construction Contract, Westcott is obligated to pay liquidated delay damages of \$500 per day for each day beyond August 15, 1998 that Phase IA was not substantially completed.

13. As Westcott's suretor, NUFIC is obligated to pay such damages. Ipswich has made demand on NUFIC to pay such damages. NUFIC has thus far refused.

14. Under Phase IB of the Construction Contract, Westcott was obligated to complete an Entry Drive to the School by November 1, 1999.

15. In fact, the Entry Drive was not substantially completed until approximately 44 days after November 1, 1999.

16. Pursuant to the Construction Contract, Westcott is obligated to pay liquidated damages of \$500 per day for each day beyond November 1, 1999 that Phase IB was not substantially completed.

17. As Westcott's suretor, NUFIC is obligated to pay such damages. Ipswich has made demand on NUFIC to pay such damages. NUFIC has thus far refused.

18. After NUFIC took over the Construction Contract, it committed additional breaches of the Contract.

19. Phase IIB of the Construction Contract obligated Westcott to complete certain sitework by August 31, 2000. Pursuant to a Change Order, this date was extended to June 15, 2001.

20. Nevertheless, NUFIC, having taken over for Westcott as general contractor, failed to substantially complete the sitework by June 15, 2001. Indeed, in approximately July, 2002, Charwill ceased to perform its general contractor duties.

21. For this and other breaches of the Construction Contract, on August 1, 2002, Ipswich terminated NUFIC as the general contractor. In its termination letter, Ipswich informed NUFIC that the termination was on account of NUFIC's

(1) "[c]onsistent and constant failure to perform the Architect's directives. . .";
(2) "[f]ailure to follow the instructions of the Ipswich Conservation Commission, a public authority, on numerous occasions forcing [Ipswich] to hire an outside Contractor to finish the work; (3) [c]onsistent failure to supply properly skilled workmen to perform its obligations under the contract'; and (4) [o]ther substantial violations of the Contract Documents."

22. As a result of NUFIC's breaches leading to its termination, Ipswich had to complete the Project, itself, at its own expense. Ipswich was not able to substantially complete the Phase IIB Sitework until approximately 658 days after the extended completion date of June 15, 2001.

23. The Construction Contract, as amended via the Change Order, calls for liquidated delay damages of \$500 per day for each day beyond June 15, 2001 that Phase IIB was not substantially completed. Pursuant to the Performance Bond, NUFIC is obligated to pay those damages.

24. Ipswich has made demand on NUFIC to pay such damages. NUFIC has thus far refused.

25. Phase IIC of the Construction Contract obligated the General Contractor to perform certain sitework maintenance functions for nine-and-a-half months after completion of the Phase IIB sitework.

26. The Construction Contract further obligated the General Contractor to complete the Phase IIC sitework maintenance functions by June 15, 2001.

27. In fact, NUFIC, never performed any Phase IIC sitework maintenance functions. (NUFIC had been terminated by Ipswich prior to the completion of Phase IIB.) Accordingly, Ipswich had to perform the Phase IIC maintenance work, itself, at its own expense. The nine-and-a-half months of Phase IIC maintenance work concluded approximately 817 days after the June 15, 2001 completion date set out in the Construction Contract.

28. The Construction Contract calls for liquidated damages of \$500 per day for each day beyond June 15, 2001 that Phase IIC was not substantially completed.

29. Pursuant to the Performance Bond, NUFIC is obligated to pay those damages. Ipswich has made demand on NUFIC to pay such damages. NUFIC has thus far refused.

30. In addition to the breaches of the Construction Contract that resulted from failures by NUFIC and its principal to timely complete certain work under the Construction Contract, NUFIC and/or its surety committed other breaches of the Construction Contract. These breaches included performing non-conforming construction and site work. This non-conforming work resulted in, among other things, unstable site conditions. Ipswich was injured by this non-conforming work as it had to pay more than \$45,000 to correct the work and accrued more than \$6,500 in fines levied by the Ipswich Conservation Commission. Ipswich has made demand on NUFIC to reimburse Ipswich for these expenses. NUFIC has thus far refused.

31. As a result of NUFIC's breaches of the Construction Contract, Ipswich was further injured in that it had to complete the Project, itself, at its own expense. This additional expense exceeded the total amount that Ipswich would have had to pay the general contractor under the Construction Contract. Ipswich has made demand on NUFIC to reimburse it for such additional expenditures. NUFIC has thus far refused.

COUNT ONE

(Breach of Contract)

32. Ipswich incorporates by reference the allegations set forth in paragraphs 1 through 31 above, as though fully restated herein.

33. The Performance Bond is a valid and enforceable contract.

34. NUFIC has breached the Performance Bond. These breaches include, without limitation:

- Failing to pay Ipswich the liquidated delay damages arising from Westcott's failure to timely complete Phases IA and IB of the Construction Contract;

- Failing to pay Ipswich the liquidated delay damages arising from Westcott's and NUFIC's own failure to timely complete Phases IIB and IIC of the Construction Contract;
- Failing to perform the Architect's directives after taking over the Construction Contract from Westcott;
- Failing to follow the instructions of the Ipswich Conservation Commission after taking over the Construction Contract from Westcott;
- Failing to supply properly skilled workmen to perform its obligations under the Construction Contract after taking over the Contract from Westcott; and
- Failing to pay Ipswich the damages resulting from Westcott's and NUFIC's own breaches of the Construction Contract other than the breaches of failing to timely complete various Phases of the Construction Contract.

35. As a result of NUFIC's breaches of the Performance Bond, Ipswich has been injured.

36. Ipswich is entitled to receive an award of damages for its injuries.

COUNT TWO

(For Money Had and Received)

37. Ipswich incorporates by reference the allegations set forth in paragraphs 1 through 36 above, as though fully restated herein.

38. Ipswich has advanced certain moneys to Westcott and NUFIC under the Construction Contract. Westcott and NUFIC have breached the contract. On account of the breaches, Ipswich has been injured.

39. Ipswich is entitled to the return of some of the moneys advanced to Westcott and NUFIC under the Construction Contract.

40. Pursuant to the Performance Bond, NUFIC is responsible for any money that must be returned to Ipswich.

PRAYERS FOR RELIEF

WHEREFORE, the plaintiff Town of Ipswich seeks the following relief:

- a. That the Court enter judgment in favor of Ipswich on each count of the Complaint;
- b. That the Court determine the amount of damages to which Ipswich is entitled on each count of the Complaint and award Ipswich these amounts plus pre- and post-judgment interest;
- c. That the Court award Ipswich its reasonable attorneys' fees and costs incurred in connection with this action; and
- d. That the Court award such other and further relief as it deems to be necessary and proper.

JURY TRIAL DEMAND

The Town of Ipswich hereby demands a jury trial on all issues so triable.

TOWN OF IPSWICH

By its attorneys,



Peter E. Ball, BBO #546031
Kurt S. Kusiak, BBO #559254
SALLY & FITCH LLP
225 Franklin Street
Boston, MA 02110
(617) 542-5542

Dated: March 2, 2005

6381C0000003/03/ 5CIVIL FEE 240.00

6381C0000003/03/ 5SURCHARGE 15.00

6381C0000003/03/ 5SECC 20.00

6381C0000003/03/ 5SUMMONS 10.00

IPSWICH MIDDLE/HIGH SCHOOL

Bond No.: 17-44-84

DOCUMENT 00610
PERFORMANCE BONDKNOW ALL MEN BY THESE PRESENTS that we, the undersigned
WESTCOTT CONSTRUCTION CORPORATION

a corporation organized under the laws of Massachusetts and having a usual place of business in North Attleboro in the County of Bristol and the State of Massachusetts as PRINCIPAL, and NATIONAL UNION FIRE INSURANCE COMPANY OF PITTSBURGH, PA a corporation organized under the laws of Pennsylvania and having a usual place of business of 70 Pine Street, New York, NY in the County of New York, as SURETY, are firmly held and bound unto the Town of Ipswich as OBLIGEE, in the sum of TWENTY-FOUR MILLION EIGHT HUNDRED SEVENTY-SEVEN THOUSAND AND 00/100--DOLLARS (\$24,877,000.00) lawful money of the United States of America, for payment of which well and truly to be made, we hereby, jointly and severally, bind ourselves and our respective heirs, executors, administrators, successors and assigns, firmly by these presents.

WHEREAS, the Principal has entered into a certain written contract with the Obligee, dated May 15, 1998, for construction of the Ipswich Middle/High School, Ipswich, MA, which Contract in its entirety, including the General Conditions, Supplementary Conditions, Drawings, Specifications, and any Addenda is hereby referred to and made a part hereof as fully and to the same extent as if copied at length herein.

NOW THEREFORE, the condition of this obligation is such that if the Principal shall well and truly keep and perform all the undertakings, covenants, agreements, terms and conditions of said contract and any extensions thereof that may be granted by the Obligee, with or without notice to the Surety, and during the life of any guarantee required under the contract, and shall also well and truly keep and perform all the undertakings, covenants, agreements, terms and conditions of any and all duly authorized modifications, alterations, changes or additions to said contract that may hereafter be made, notice to the Surety of such modifications, alterations, changes or additions being hereby waived, then this obligation shall become null and void, otherwise it shall remain in full force and effect.

In the event that the contract is abandoned by the Principal, or in the event that the Obligee, under the provisions of Article 14 of the General Conditions of said Contract, as amended by the Supplementary Conditions, terminates the Contract, said Surety hereby further agrees that said Surety shall, if required in writing by the Obligee, take such action as is necessary to complete said Contract.

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have

PERFORMANCE BOND
00610-1EXHIBIT A

IPSWICH MIDDLE/HIGH SCHOOL

caused their corporate seals to be hereto affixed and these presents
to be signed by their proper officers or agents. this

15th day of May, 1998.

ATTEST

WESTCOTT CONSTRUCTION CORPORATION

(Principal)

By: 

John M. Ciardi, Jr., President

(Title)

SEAL

NATIONAL UNION FIRE INSURANCE COMPANY
OF PITTSBURGH, PA

(Surety)

By: 

Michael J. Cusack

(Attorney in Fact)

SEAL

END OF DOCUMENT

PERFORMANCE BOND
00610-2

**CIVIL ACTION
COVER SHEET**

Case 1:05-cv-11114-MEW Document 1 Filed 05/27/2014 Page 1 of 1

Superior Court Department

County: Essex



PLAINTIFF(S)

Town of Ipswich

DEFENDANT(S)

National Union Fire Ins. Co. of Pittsburgh, PA

ATTORNEY, FIRM NAME, ADDRESS AND TELEPHONE

Peter E. Ball, Esq. BBO#546031
Kurt S. Kusiak, Esq. BBO#559254
Sally & Fitch LLP, 225 Franklin Street,
Board of Bar Overseers number: Boston, MA - 617-542-5542

ATTORNEY (if known)

John P. Connelly, Esq.
Peabody & Arnold LLP
30 Rowes Wharf, Boston, MA 02110

Origin code and track designation

Place an x in one box only:

- | | |
|---|---|
| <input checked="" type="checkbox"/> 1. F01 Original Complaint | <input type="checkbox"/> 4. F04 District Court Appeal c.231, s. 97 & 104 (After trial) (X) |
| <input type="checkbox"/> 2. F02 Removal to Sup.Ct. C.231,s.104 (Before trial) (F) | <input type="checkbox"/> 5. F05 Reactivated after rescript; relief from judgment/Order (Mass.R.Civ.P. 60) (X) |
| <input type="checkbox"/> 3. F03 Retransfer to Sup.Ct. C.231,s.102C (X) | <input type="checkbox"/> 6. E10 Summary Process Appeal (X) |

TYPE OF ACTION AND TRACK DESIGNATION (See reverse side)

| CODE NO. | TYPE OF ACTION (specify) | TRACK | IS THIS A JURY CASE? |
|----------|--------------------------|---------------|----------------------|
| A12 | Contract Construction | Dispute (A) | (x) Yes () No |

The following is a full, itemized and detailed statement of the facts on which plaintiff relies to determine money damages. For this form, disregard double or treble damage claims; indicate single damages only.

TORT CLAIMS

(Attach additional sheets as necessary)

- A. Documented medical expenses to date:
- | | |
|------------------------------------|-----------|
| 1. Total hospital expenses | \$ |
| 2. Total Doctor expenses | \$ |
| 3. Total chiropractic expenses | \$ |
| 4. Total physical therapy expenses | \$ |
| 5. Total other expenses (describe) | \$ |
| Subtotal | \$ |
- B. Documented lost wages and compensation to date \$
- C. Documented property damages to date \$
- D. Reasonably anticipated future medical and hospital expenses \$
- E. Reasonably anticipated lost wages \$
- F. Other documented items of damages (describe) \$
- G. Brief description of plaintiff's injury, including nature and extent of injury (describe)

Elizabeth K. Stachurski
TOTAL \$

CONTRACT CLAIMS

(Attach additional sheets as necessary)

Provide a detailed description of claim(s):

Defendant breached terms of a performance bond that it provided in connection with the construction by plaintiff of a new Middle/High School.

In excess of
TOTAL \$1,000,000

PLEASE IDENTIFY, BY CASE NUMBER, NAME AND COUNTY, ANY RELATED ACTION PENDING IN THE SUPERIOR COURT DEPARTMENT There are no related actions, but there is a case involving both parties that is currently pending in Suffolk Superior Court, N.B. Kenney Co., Inc. v. Nat'l. Union Fire Ins.

"I hereby certify that I have complied with the requirements of Rule 5 of the Supreme Judicial Court Uniform Rules on Dispute Resolution (SJC Rule 1:18) requiring that I provide my clients with information about court-connected dispute resolution services and discuss with them the advantages and disadvantages of the various methods."

Signature of Attorney of Record

P. E. Ball

DATE: March 2, 200

COMMONWEALTH OF MASSACHUSETTS

ESSEX, SS.

SUPERIOR COURT DEPARTMENT
CIVIL ACTION NO.: 5-0357

TOWN OF IPSWICH,

Plaintiff,

v.

NATIONAL UNION FIRE INSURANCE CO. OF
PITTSBURGH, PA

Defendant.

**PLAINTIFF'S EX PARTE
MOTION FOR
APPOINTMENT OF
SPECIAL PROCESS
SERVER**

The above named Plaintiff hereby moves that Suvalle Jodrey & Associates, of One Devonshire Place, Boston, Massachusetts, and its agents, servants and employees, all of whom are over the age of 18 years and disinterested in this action, be specially appointed pursuant to Mass. R. Civ. P. 4(c) for all purposes for service of process in this action.

TOWN OF IPSWICH

By its attorneys,

P. E. Ball

Peter E. Ball, BBO #546031
Kurt S. Kusiak, BBO #559254
SALLY & FITCH LLP
225 Franklin Street
Boston, MA 02110
(617) 542-5542

Dated: March 2, 2005

Deputy Clerk
DEPUTY ASST. CLERK

Allowed 3/3/05 Kury J.

attest
Deputy Clerk
Deputy Clerk

Commonwealth of Massachusetts
County of Essex
The Superior Court

CIVIL DOCKET# **ESCV2005-00357-A**

RE: **Town of Ipswich v National Union Fire Insurance Co of Pittsburgh PA**

TO: Peter E Ball, Esquire
Hill & Barlow
1 International Place
100 Oliver Street
Boston, MA 02110-2607

TRACKING ORDER - A TRACK

You are hereby notified that this case is on the average (A) track as per Superior Court Standing Order 1-88. The order requires that the various stages of litigation described below must be completed not later than the deadlines indicated.

STAGES OF LITIGATION

DEADLINE

| | |
|---|------------|
| Service of process made and return filed with the Court | 06/01/2005 |
| Response to the complaint filed (also see MRCP 12) | 07/31/2005 |
| All motions under MRCP 12, 19, and 20 filed | 07/31/2005 |
| All motions under MRCP 15 filed | 05/27/2006 |
| All discovery requests and depositions completed | 04/22/2007 |
| All motions under MRCP 56 served and heard | 06/21/2007 |
| Final pre-trial conference held and firm trial date set | 10/19/2007 |
| Case disposed | 03/02/2008 |

The final pre-trial deadline is **not the scheduled date of the conference**. You will be notified of that date at a later time.

Counsel for plaintiff must serve this tracking order on defendant before the deadline for filing return of service.

This case is assigned to session **A** sitting in **CtRm 2 -rear (Salem), Essex Superior Court**.

Dated: 03/03/2005

Thomas H. Driscoll Jr.
Clerk of the Courts

Location: CtRm 2 -rear (Salem)
Telephone: (978) 744-5500 ext. 414

BY: Judith Brennan
Assistant Clerk

Disabled individuals who need handicap accommodations should contact the Administrative Office of the Superior Court at (617) 788-8130

(TO PLAINTIFF'S ATTORNEY: Please Circle Type of Action Involved: - TORT - MOTOR VEHICLE TORT -
CONTRACT - EQUITABLE RELIEF - OTHER.)

COMMONWEALTH OF MASSACHUSETTS

ESSEX, ss.

SUPERIOR COURT
CIVIL ACTION
No.

Town of Ipswich

, Plaintiff(s)

v.

National Union Fire Ins. Co. of Pittsburgh, PA

, Defendant(s)

SUMMONS

To the above named Defendant:

You are hereby summoned and required to serve upon Peter Ball, Esq., and/or Kurt Kusiak, Esq.,
plaintiff's attorney, whose address is Sally & Fitch LLP, 225 Franklin St., Boston, MA an answer to the
complaint which is herewith served upon you, within 20 days after service of this summons upon you, exclusive of the
day of service. If you fail to do so, judgment by default will be taken against you for the relief demanded in the
complaint. You are also required to file your answer to the complaint in the office of the Clerk of this court at
_____ either before service upon plaintiff's attorney or within a reasonable time thereafter.

Unless otherwise provided by Rule 13 (a), your answer must state as a counterclaim any claim which you may
have against the plaintiff which arises out of the transaction or occurrence that is the subject matter of the plaintiff's
claim or you will thereafter be barred from making such claim in any other action.

WITNESS, SUZANNE V. DeIVECCHIO, Esquire, at Salem, the
day of March, in the year of our Lord two thousand


Clerk

NOTES:

1. This summons is issued pursuant to Rule 4 of the Massachusetts Rules of Civil Procedure.
2. When more than one defendant is involved, the names of all defendants should appear in the caption. If a separate summons is used for each defendant, each should be addressed to the particular defendant.

NOTICE TO DEFENDANT - You need not appear personally in court to answer the complaint, but if you claim to have a defense, either you or your attorney must serve a copy of your written answer within 20 days as specified herein and also file the original in the Clerk's Office.

JS 44 (Rev. 11/04)

CIVIL COVER SHEET

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON THE REVERSE OF THE FORM.)

I. (a) PLAINTIFFS

Town of Ipswich

(b) County of Residence of First Listed Plaintiff Essex
(EXCEPT IN U.S. PLAINTIFF CASES)

(c) Attorney's (Firm Name, Address, and Telephone Number)

Peter E. Ball
Kurt S. Kusick, Sally & Fitch, LLP
225 Franklin St., Boston, MA 02110

DEFENDANTS

National Union Fire Insurance Company of Pittsburgh, Pa.

New York

County of Residence of First Listed Defendant New York

(IN U.S. PLAINTIFF CASES ONLY)

NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE LAND INVOLVED.

Attorneys (If Known)

John P. Connelly
Peabody & Arnold, LLP
30 Rowes Wharf, Boston, MA 02110

II. BASIS OF JURISDICTION (Place an "X" in One Box Only)

- ☐ 1 U.S. Government Plaintiff
- ☐ 2 U.S. Government Defendant
- ☐ 3 Federal Question (U.S. Government Not a Party)
- ☒ 4 Diversity (Indicate Citizenship of Parties in Item III)

III. CITIZENSHIP OF PRINCIPAL PARTIES (Place an "X" in One Box for Plaintiff and One Box for Defendant)

- | | | | | | |
|---|---------------------------------------|----------------------------|---|----------------------------|---------------------------------------|
| Citizen of This State | <input checked="" type="checkbox"/> 1 | <input type="checkbox"/> 1 | Incorporated or Principal Place of Business In This State | <input type="checkbox"/> 4 | <input type="checkbox"/> 4 |
| Citizen of Another State | <input type="checkbox"/> 2 | <input type="checkbox"/> 2 | Incorporated and Principal Place of Business In Another State | <input type="checkbox"/> 5 | <input checked="" type="checkbox"/> 5 |
| Citizen or Subject of a Foreign Country | <input type="checkbox"/> 3 | <input type="checkbox"/> 3 | Foreign Nation | <input type="checkbox"/> 6 | <input type="checkbox"/> 6 |

IV. NATURE OF SUIT (Place an "X" in One Box Only)

| CONTRACT | TORTS | FORFEITURE/PENALTY | BANKRUPTCY | OTHER STATUTES |
|---|--|--|---|--|
| <input type="checkbox"/> 110 Insurance <input type="checkbox"/> 120 Marine <input type="checkbox"/> 130 Miller Act <input type="checkbox"/> 140 Negotiable Instrument <input type="checkbox"/> 150 Recovery of Overpayment & Enforcement of Judgment <input type="checkbox"/> 151 Medicare Act <input type="checkbox"/> 152 Recovery of Defaulted Student Loans (Excl. Veterans) <input type="checkbox"/> 153 Recovery of Overpayment of Veteran's Benefits <input type="checkbox"/> 160 Stockholders' Suits <input checked="" type="checkbox"/> 190 Other Contract <input type="checkbox"/> 195 Contract Product Liability <input type="checkbox"/> 196 Franchise | PERSONAL INJURY <input type="checkbox"/> 310 Airplane <input type="checkbox"/> 315 Airplane Product Liability <input type="checkbox"/> 320 Assault, Libel & Slander <input type="checkbox"/> 330 Federal Employers' Liability <input type="checkbox"/> 340 Marine <input type="checkbox"/> 345 Marine Product Liability <input type="checkbox"/> 350 Motor Vehicle <input type="checkbox"/> 355 Motor Vehicle Product Liability <input type="checkbox"/> 360 Other Personal Injury PERSONAL INJURY <input type="checkbox"/> 362 Personal Injury - Med. Malpractice <input type="checkbox"/> 365 Personal Injury - Product Liability <input type="checkbox"/> 368 Asbestos Personal Injury Product Liability PERSONAL PROPERTY <input type="checkbox"/> 370 Other Fraud <input type="checkbox"/> 371 Truth in Lending <input type="checkbox"/> 380 Other Personal Property Damage <input type="checkbox"/> 385 Property Damage Product Liability | <input type="checkbox"/> 610 Agriculture <input type="checkbox"/> 620 Other Food & Drug <input type="checkbox"/> 625 Drug Related Seizure of Property 21 USC 881 <input type="checkbox"/> 630 Liquor Laws <input type="checkbox"/> 640 R.R. & Truck <input type="checkbox"/> 650 Airline Regs. <input type="checkbox"/> 660 Occupational Safety/Health <input type="checkbox"/> 690 Other | <input type="checkbox"/> 422 Appeal 28 USC 158 <input type="checkbox"/> 423 Withdrawal 28 USC 157 PROPERTY RIGHTS <input type="checkbox"/> 820 Copyrights <input type="checkbox"/> 830 Patent <input type="checkbox"/> 840 Trademark SOCIAL SECURITY <input type="checkbox"/> 861 HIA (1395ff) <input type="checkbox"/> 862 Black Lung (923) <input type="checkbox"/> 863 DIWC/DIWW (405(g)) <input type="checkbox"/> 864 SSID Title XVI <input type="checkbox"/> 865 RSI (405(g)) FEDERAL TAX SUITS <input type="checkbox"/> 870 Taxes (U.S. Plaintiff or Defendant) <input type="checkbox"/> 871 IRS—Third Party 26 USC 7609 | <input type="checkbox"/> 400 State Reapportionment <input type="checkbox"/> 410 Antitrust <input type="checkbox"/> 430 Banks and Banking <input type="checkbox"/> 450 Commerce <input type="checkbox"/> 460 Deportation <input type="checkbox"/> 470 Racketeer Influenced and Corrupt Organizations <input type="checkbox"/> 480 Consumer Credit <input type="checkbox"/> 490 Cable/Sat TV <input type="checkbox"/> 810 Selective Service <input type="checkbox"/> 850 Securities/Commodities/Exchange <input type="checkbox"/> 875 Customer Challenge 12 USC 3410 <input type="checkbox"/> 890 Other Statutory Actions <input type="checkbox"/> 891 Agricultural Acts <input type="checkbox"/> 892 Economic Stabilization Act <input type="checkbox"/> 893 Environmental Matters <input type="checkbox"/> 894 Energy Allocation Act <input type="checkbox"/> 895 Freedom of Information Act <input type="checkbox"/> 900 Appeal of Fee Determination Under Equal Access to Justice <input type="checkbox"/> 950 Constitutionality of State Statutes |
| REAL PROPERTY <input type="checkbox"/> 210 Land Condemnation <input type="checkbox"/> 220 Foreclosure <input type="checkbox"/> 230 Rent Lease & Ejectment <input type="checkbox"/> 240 Torts to Land <input type="checkbox"/> 245 Tort Product Liability <input type="checkbox"/> 290 All Other Real Property | CIVIL RIGHTS <input type="checkbox"/> 441 Voting <input type="checkbox"/> 442 Employment <input type="checkbox"/> 443 Housing/Accommodations <input type="checkbox"/> 444 Welfare <input type="checkbox"/> 445 Amer. w/Disabilities - Employment <input type="checkbox"/> 446 Amer. w/Disabilities - Other <input type="checkbox"/> 440 Other Civil Rights | PRISONER PETITIONS <input type="checkbox"/> 510 Motions to Vacate Sentence Habeas Corpus: <input type="checkbox"/> 530 General <input type="checkbox"/> 535 Death Penalty <input type="checkbox"/> 540 Mandamus & Other <input type="checkbox"/> 550 Civil Rights <input type="checkbox"/> 555 Prison Condition | | |

V. ORIGIN

(Place an "X" in One Box Only)

- ☐ 1 Original Proceeding
- ☒ 2 Removed from State Court
- ☐ 3 Remanded from Appellate Court
- ☐ 4 Reinstated or Reopened
- ☐ 5 Transferred from another district (specify)
- ☐ 6 Multidistrict Litigation
- ☐ 7 Appeal to District Judge from Magistrate Judgment

VI. CAUSE OF ACTION

Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity):

Diversity action under 28 U.S.C. §1332. Plaintiff is a MA

Brief description of cause:

Municipal corporation suing defendant insurance company located in New York for breach of contract.

VII. REQUESTED IN COMPLAINT:

☐ CHECK IF THIS IS A CLASS ACTION UNDER F.R.C.P. 23

DEMAND \$

over \$1 million JURY DEMAND: ☒ Yes ☐ No**VIII. RELATED CASE(S) IF ANY**

(See instructions):

JUDGE

DOCKET NUMBER

DATE

5/27/05

SIGNATURE OF ATTORNEY OF RECORD

FOR OFFICE USE ONLY

RECEIPT #

AMOUNT

APPLYING IFP

JUDGE

MAG. JUDGE

UNITED STATES DISTRICT COURT
DISTRICT OF MASSACHUSETTS

1. Title of case (name of first party on each side only) Town of Ipswich v. National Union Fire Insurance Company of Pittsburgh, Pa.
2. Category in which the case belongs based upon the numbered nature of suit code listed on the civil cover sheet. (See local rule 40.1(a)(1)).
- ___ I. 160, 410, 470, 535, R.23, REGARDLESS OF NATURE OF SUIT.
- ___ II. 195, 196, 368, 400, 440, 441-446, 540, 550, 555, 625, 710, 720, 730, *Also complete AO 120 or AO 121 for patent, trademark or copyright cases
740, 790, 791, 820*, 830*, 840*, 850, 890, 892-894, 895, 950.
- X III. 110, 120, 130, 140, 151, 190, 210, 230, 240, 245, 290, 310, 315, 320, 330, 340, 345, 350, 355, 360, 362, 365, 370, 371, 380, 385, 450, 891.
- ___ IV. 220, 422, 423, 430, 460, 480, 490, 510, 530, 610, 620, 630, 640, 650, 660, 690, 810, 861-865, 870, 871, 875, 900.
- ___ V. 150, 152, 153.
3. Title and number, if any, of related cases. (See local rule 40.1(g)). If more than one prior related case has been filed in this district please indicate the title and number of the first filed case in this court.
-
4. Has a prior action between the same parties and based on the same claim ever been filed in this court?
- YES ☐ NO ☒
5. Does the complaint in this case question the constitutionality of an act of congress affecting the public interest? (See 28 USC §2403)
- YES ☐ NO ☒
- If so, is the U.S.A. or an officer, agent or employee of the U.S. a party?
- YES ☐ NO ☒
6. Is this case required to be heard and determined by a district court of three judges pursuant to title 28 USC §2284?
- YES ☐ NO ☒
7. Do all of the parties in this action, excluding governmental agencies of the united states and the Commonwealth of Massachusetts ("governmental agencies"), residing in Massachusetts reside in the same division? - (See Local Rule 40.1(d)).
- YES ☒ NO ☐
- A. If yes, in which division do all of the non-governmental parties reside?
- Eastern Division ☒ Central Division ☐ Western Division ☐
- B. If no, in which division do the majority of the plaintiffs or the only parties, excluding governmental agencies, residing in Massachusetts reside?
- Eastern Division ☐ Central Division ☐ Western Division ☐
8. If filing a Notice of Removal - are there any motions pending in the state court requiring the attention of this Court? (If yes, submit a separate sheet identifying the motions)
- YES ☐ NO ☒
- (PLEASE TYPE OR PRINT)
- ATTORNEY'S NAME John P. Connelly
- ADDRESS Peabody & Arnold, LLP, 30 Rowes Wharf, Boston, MA 02110
- TELEPHONE NO. (617) 951-2100